N-Heterocyclic Carbenes

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Chiral Palladium(II) Complexes Possessing a Tridentate N-Heterocyclic Carbene Amidate Alkoxide Ligand: Access to Oxygen-Bridging Dimer Structures**

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N-Heterocyclic carbenes (NHCs) and their palladium complexes have been developed to facilitate the formation of carbon–carbon and carbon–heteroatom bonds. [1] NHC complexes exhibit unique chemical properties such as strong Pd–NHC σ bonding, which enhances the stabilities of active organometallic compounds relative to conventional phosphane complexes. [2] Moreover, chiral NHC ligands have been synthesized to promote asymmetric catalysis. [3]

Most of the chiral NHC ligands that have been utilized for asymmetric Pd^{II} catalysis are monodentate, as Lee and Hartwig demonstrated moderate enantioselectivities (71–76% *ee*) in α-arylation.^[4] However, monodentate ligands caused practical difficulties including concomitant formation of inactive palladium–ligand complexes, such as those with a *trans* conformation. Bidentate NHC ligands exhibited better stabilities and selectivities: Douthwaite reported better enantioselectivities (up to 92% *ee*) for asymmetric allylic alkylation^[5a,b] than reactions employing the corresponding monodentate ligand.^[5c]

We envisioned tridentate NHCs would enhance the stabilities of Pd^{II} complexes and enantioselectivities of various asymmetric reactions. As depicted in Figure 1, we sought a "chiral {Pd(OAc)₂} complex" and designed and synthesized novel chiral tridentate NHC-Pd^{II} complexes (II). Notably, ligand systems with NHCs, amidates, and oxygen functionalities (a C,N,O triad) could exert high electron densities and strong coordination on the Pd^{II} complexes to increase stabilities even in nucleophilic solvents such as water and alcohols. Therefore, labile ligands such as water, alcohols, and acetonitrile are likely to be removed easily and thus enhance the reactivities and efficiencies of NHC-Pd catalysts.

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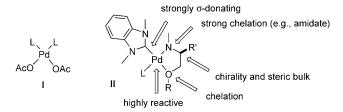


Figure 1. Design of a chiral $\{Pd(OAc)_2\}$ complex (II) for asymmetric catalysis.

We report herein the synthesis of chiral tridentate NHC-Pd^{II} complexes and their applications in an asymmetric oxidative Heck-type reaction as a proof of concept.

The preparation of chiral ligands **4** is shown in Scheme 1. Hydroxyamide compounds **2** were prepared by reduction of amino acids **1** and subsequent N-alkylation with bromoacetyl bromide. Treatment of **2** with benzimidazole in the presence

Scheme 1. Synthesis of chiral NHC ligands **4a** and **4b**. TEA = triethylamine; DCM = dichloromethane.

of KOH in DMF provided compounds **3**, which were subjected to methylation to yield the amido alcohol substituted benzimidazolium salts **4**. The structure was confirmed by 1 H NMR spectroscopic analysis; new peaks assigned to the NCH₃ appeared at $\delta = 4.18$ (**4a**) and 4.15 ppm (**4b**). Also, the imidazole H resonances shifted significantly as expected for iodine salts, appearing at $\delta = 9.55$ (**4a**) and 9.50 ppm (**4b**).

Because direct coordination of ligands **4** to palladium was not efficient under numerous conditions, the ligands were transferred to palladium via silver NHC complexes. [6] As described in Scheme 2, compounds **4a** and **4b** were treated with Ag₂O in CH₂Cl₂ to give silver NHC complexes.

Scheme 2. Synthesis of NHC-coordinated Pd^{II} complexes.

Subsequent treatment of the silver compounds with [PdCl₂-(CH₃CN)₂] in CH₃CN at room temperature provided the palladium(II)/NHC ligand complexes **5a** and **5b** in 73 and 75% yields, respectively, for the two steps. Their structures were confirmed with ¹H and ¹³C NMR spectroscopy by the complete disappearance of the imidazole protons and by the observation of the expected change in chemical shifts for the NCH₂CO peaks [δ = 5.43/5.35 to 5.82/5.49 ppm for **5a**, δ = 5.42 (singlet) to 5.74/5.65 ppm for **5b**] relative to those of ligands **4a** and **4b**; HRMS analysis further confirmed the assignment by showing molecular ion peaks at m/z 416.0356 ([M+H]; calcd for **5a**: 416.0357) and m/z 405.0279 ([M+H]; calcd for **5b**: 405.0201), respectively.

Dehydrohalogenation of 5a and 5b in the presence of K₂CO₃ produced NHC-Pd^{II} complexes **6a** and **6b** in high yields. Only one isomer of each of the dimeric compounds was detected in solution by ¹H NMR spectroscopic analysis; their spectra exhibited an upfield shift $[\delta = 5.82/5.49 \text{ to } 4.99/$ 4.91 ppm for **6a** and $\delta = 5.74/5.65$ to 5.05/4.92 ppm for **6b**] of the NCH₂CO signals, implying moderate structural distortion of the square-planar PdII complexes relative to 5. HRMS spectra (molecular peaks at m/z 759.1105 ([M+H]) for $\mathbf{6a}$ and m/z 828.0789 ([M+H]) for $\mathbf{6b}$) supported the assigned dimeric molecular-based structures. We sought optimal reaction conditions by screening various carbonate and hydroxide bases. The use of carbonate bases such as Na₂CO₃, KHCO₃, and NaHCO₃ provided the dimeric palladium complex in decent yields (70-52%). However, in the presence of NaOH, dehydrohalogenation of 5 afforded dimeric complex 6 in a decreased conversion of 48%.

After further purification, complexes 5a and 6a were crystallized from CH₂Cl₂/Et₂O. The molecular structures of **5a** and **6a** were established by single-crystal X-ray diffraction studies (Figures 2 and 3). The solid-state structures of 5a and 6a show that the NHC rings are aligned roughly perpendicular to the square-planar Pd coordination plane. Notably, the Pd-N bond lengths of both structures are shortened (1.980(2) Å for **5a** and 1.978(3) Å for **6a**) relative to other Pd-N bonds, such as with protonic amines, azides, and imines.^[7] This peculiar structural feature indicates that the Pd-N bonding is anionic coordination. For example, the geometry about N2 in 6a is approximately trigonal planar as shown by the torsion angle (10.8°) for C3···C12···Pd1···N2 and the sum of the bond angles (355.80°). Therefore, these torsional parameters highlight the utility of anionic coordination between nitrogen and palladium, which is distinguished from a lone-pair coordination, such as for Pd-NH.

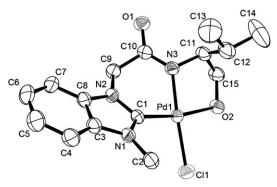


Figure 2. Solid-state molecular structure of 5 a. Hydrogen atoms and solvent molecules are omitted for clarity. Selected bond lengths [Å] and angles [°]: Pd1–C1 1.937(2), Pd1–N3 1.980(2), Pd1–O2 2.1102(18), Pd1–Cl1 2.3031(7), C1-Pd1-N3 88.60(9), C1-Pd1-O2 170.05(9), N3-Pd1-O2 81.67(8), C1-Pd1-Cl1 97.89(7), N3-Pd1-Cl1 172.90(6), O2-Pd1-Cl1 91.94(5).

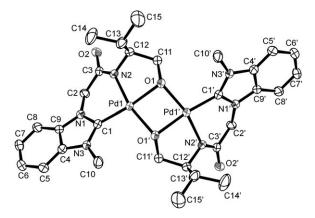


Figure 3. Solid-state molecular structure of 6a. Hydrogen atoms and solvent molecules are omitted for clarity. Selected bond lengths [Å] and angles [°]: Pd1–C1 1.947(3), Pd1–N2 1.978(3), Pd1–O1 2.052(2), Pd1–O1′ 2.072(2), Pd1···Pd1′ 2.9731(5), C1-Pd1-N2 90.04(12), C1-Pd1-O1′ 104.01(11), N2-Pd1-O1′ 165.12(11), Pd1-O1-Pd1′ 92.26(10), O1-Pd1-O1′ 81.94(11).

Regarding oxygen and neighboring atoms, the Pd-O bond length in a Pd-OH bond (anionic bonding) is shorter (0.05-0.15 Å) than the Pd-O bond length (dative bonding) observed for known aqua complexes.[8] In addition, the Pd-O bond in 6a is slightly shorter than the Pd-O bond in 5a, which is informative evidence that the O1 atom in 6a contributes to the Pd₂O₂ bridging through delocalization of the electrons from the oxygen donors. The dimeric palladium complex 6a crystallized in the chiral space group C2 with bridging of the oxygen atom to the palladium atom. The Pd₂O₂ core is not planar, but inclined at a dihedral angle of 145.5° about the Pd···Pd axis. The substituents of the alkoxide oxygen atoms adopt an endo arrangement, which makes the structure of the dimeric palladium complex unique among dimeric palladacycles. Conclusively, 5a and 6a are unprecedented Pd^{II} complexes consisting of a carbene, amidate, and alkoxide.

More importantly, the dimeric structure **6a** was completely stable in air and water environments. Both dimeric

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and monomeric catalysts survived harsh conditions, including water and protic solvents, unlike other known Pd catalysts. Thus, these kinds of catalysts can provide opportunities to effect difficult reactions, including C–H activation, which we will report in due course.

The isolated dimeric structure itself did not show active catalytic properties, presumably by reaching a stable resting stage. However, this structure was easily converted into various active monomeric Pd-ligand structures. Dimer-monomer catalyst equilibrium has been reported for a number of palladium-catalyzed reactions, and prior investigations support the concept that the dimer can act as a reservoir for the active monomer. [9] As shown in Scheme 3, PdII-NHC complex

Scheme 3. Conversion of **6a** into monomeric Pd^{II} complexes by HCl (with a coordinating anion; A) and HBF₄ (with a noncoordinating anion; B).

6a was converted into monomeric Pd complex **5a** (as confirmed by ¹H NMR spectroscopy) by cleavage of the Pd₂O₂ core in the presence of HCl (coordinating anion). In contrast, the protonated dimeric complex **7** was afforded by aqueous HBF₄ (noncoordinating anion) solution and then conveniently transformed into cationic Pd^{II} complex **8** by heating.

Using this concept, we monitored the conversion of dimer $\bf 6a$ into monomer in the presence of phenylboronic acid as a coordinated anion substrate. The transformation reaction was carried out using dimeric Pd^{II} complex $\bf 6a$ (16 µmol) and phenylboronic acid (32 µmol), in [D₆]DMF at room temperature for 16 h (Scheme 4). Arylmetalation of phenylboronic acid was observed to give phenylpalladium(II) complex $\bf 9$ in 82% conversion (1 H NMR spectroscopy), although the reaction was very slow in DMF. On the basis of this result, the observed intermediate structure can provide evidence of a

Scheme 4. Conversion of 6a into monomeric Pd^{II} complex 9 by phenylboronic acid.

transmetallation step between palladium and an aryl boronic acid (or aryl halide) which can be encountered in palladium-catalyzed reactions such as Suzuki and boron Heck-type reactions.

In this context, asymmetric catalytic properties of air- and water-stable complexes $\bf 6$ were examined by subjecting these novel catalysts to oxidative boron Heck couplings between aryl boronic acids and acyclic olefins. First, we screened representative oxidants for asymmetric coupling reaction with methyl tiglate and phenylboronic acid in the presence of $\bf 6a$. Under an O_2 atmosphere (1 atm), the desired product $\bf 10$ was obtained in 49 % yield. In contrast, the reaction under an air atmosphere resulted in low yield of $\bf 10$ (11%), and no reaction occurred by employing CuCl₂ as an oxidant. Therefore, the coupling reactions were carried out in DMF at room temperature under O_2 atmosphere (Table 1). The desired

Table 1: Selected results for catalytic oxidative Heck-type reaction of aryl boronic acids with acyclic alkenes catalyzed by $\bf 6a$ and $\bf 6b$. [a]

ArB	(OH) ₂ + _I	R^{1}	DMF	at. 6 F, RT, 16h (1 atm)	Ĭ _R
Entry	Ar	R ¹ , R	Cat.	Product (yield, <i>ee</i>) ^[b,c]	Conf. ^[d]
1	Ph	Me, OMe	6a	10 (49%, 91% ee)	R
2	2-naphthyl	Me, OMe	6 a	11 (61%, 92% ee)	-
3	Ph	Me, H	6 a	12 (31%, 98% ee)	R
4	p -MeOC $_6$ H $_4$	Me, H	6 b	13 (32%, 90% ee)	R

[a] All reactions were carried out with 1.1 equiv aryl boronic acids and 5 mol% **6**. [b] Yields of isolated products. [c] Determined by chiral HPLC analysis (Daicel Chiracel OD; mobile phase: iPrOH:hexane = 5:95 v/v%, rate: 1 mLmin⁻¹) and NMR analysis with a chiral Eu reagent. [d] Absolute configuration.

rearrangement products were obtained in modest yields, but still far higher than the corresponding Heck reactions (less than 5% yields). However, both dimers **6a** and **6b** provided excellent enantioselectivities (greater than 90% *ee*), and the absolute configurations of **10**, **12**, and **13** were confirmed as *R*-enriched by transforming the products into the corresponding phenyl propionic esters and comparing them with authentic samples. To our knowledge, these examples are the first cases to demonstrate high enantioselectivities (greater than 90% *ee*) in intermolecular Heck-type reactions. Above all, the distinguished degree of asymmetric induction should stem from tight binding of tridentate ligands to Pd metal during the entire catalytic processes.

Another interesting feature of Heck-type reactions carried out using dimeric catalysts was the observation of a nonlinear dependence of reaction rate on the concentration of Pd. For example, van Leeuwen and co-workers recently found a half-order dependence on catalyst concentration and attributed this result to the formation of a dimeric [{PdLArX}₂] species.^[9d] Hence, the oxygen-bridging dimeric complex behaves chemically as a catalyst precursor.

In summary, we have designed novel Pd^{II} catalysts and developed an efficient synthesis of chiral tridentate amidate/

alkoxy/carbene Pd^{II} complex 6. These catalytic complexes facilitated an asymmetric oxidative Heck-type reaction between aryl boronic acids and acyclic alkenes, which offered high enantioselectivities unprecedented in intermolecular Heck-type couplings. This ligand architecture can be readily altered by using different chiral substrates and can then be expanded for use with various palladium catalyzed coupling reactions. Currently in progress are further studies focusing on the preparation of conformationally stable and enantiomerically pure PdII analogues and their applications toward asymmetric cross-coupling reactions using various substrates, as well as investigations to gain mechanistic insights.

Experimental Section

5a: A suspension of benzimidazolium salt 4a (500 mg, 1.23 mmol) and silver(I) oxide (150 mg, 0.65 mmol) in CH₂Cl₂ (52 mL) was stirred for 2 h in the dark at room temperature. The reaction mixture was concentrated under reduced pressure to give a dark-red solid. [PdCl₂(CH₃CN)₂] (260 mg, 1 mmol) was added to a suspension of the silver complex in CH₃CN (50 mL) in the dark at room temperature. Then, the resulting suspension was stirred for 2 h and filtered through a plug of glass fiber filter paper. The filtrate was evaporated to dryness in vacuo to afford product 5a (378 mg, 73 % yield) as an orange solid; ¹H NMR (CD₃OD): $\delta = 7.61$ (d, J = 16.0 Hz, 1 H), 7.54 (d, J = 16.4 Hz, 1 H) 7.37 (m, 2 H), 5.82 (d, J = 16.4 Hz, 1 H), 5.49 (d,J = 16.4 Hz, 1 H), 4.35 (s, 1 H), 3.75 (q, 1 H), 3.61–3.51 (ABX, J =16.4 Hz, 2 H), 1.82 (m, 1 H), 0.84 (d, J = 6.7 Hz, 3 H), 0.77 ppm (d, J =6.7 Hz, 3 H); 13 C NMR (CD₃OD): $\delta = 168.9, 136.1, 135.9, 135.8, 135.6,$ $124.9,\ 124.7,\ 111.9,\ 62.9,\ 58.5,\ 52.4,\ 35.1,\ 29.8,\ 20.0,\ 19.0,\ 18.6,$ 18.5 ppm; HRMS-ESI (m/z) $[M+H^+]$ calcd for $C_{15}H_{21}ClN_3O_2Pd$: 416.0357, found: 416.0356.

6a: K₂CO₃ (207 mg, 1.5 mmol) was added to a solution of 5a (208 mg, 0.5 mmol) in water (15 mL, HPLC grade). The suspension was stirred for 8 h at room temperature. Then, CH₂Cl₂ (75 mL) was added, and the mixture was stirred for 1 h. The aqueous layer was washed with CH₂Cl₂ (2×50 mL), and the organic layers were combined, dried over anhydrous Na2SO4, and concentrated to give a yellow solid 6a (154 mg, 81%). Crystals for X-ray diffraction were obtained by layering solutions of 6a in dichloromethane with Et₂O and allowing slow diffusion at room temperature. ¹H NMR (CD₃OD): $\delta = 7.64-7.69$ (m, 2H), 7.44-7.46 (m, 2H), 4.99 (d, J = 16.4 Hz, 1H), 4.91 (d, J = 16.4 Hz, 1 H), 4.17 (s, 3 H), 3.62 (m, 1 H), 3.45 (m, 2 H),2.18 (m, 1H), 0.96 (d, J = 6.8 Hz, 3H), 0.75 ppm (d, J = 6.8 Hz, 3H); ¹³C NMR (CD₃OD): δ = 166.8, 164.7, 135.4, 134.6, 125.4, 125.2, 112.2, 111.7, 74.4, 70.7, 54.7, 52.4, 33.8, 30.5, 20.4, 19.8 ppm; HRMS-ESI (m/z) [M+H⁺] calcd for C₃₀H₃₉N₆O₄Pd₂: 759.1102, found: 759.1105.

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